



<i>Procedure Name</i>	<i>Written by</i>	<i>Reviewed</i>
Evaluation of Suitability according to R.D. 358/2015	Agustín Parra Head, Compliance & FCP	February 2025

0.- INTRODUCTION

Royal Decree 358/2015 complemented the existing regulatory development of Law 24/1988, of the Securities' Market, by creating new requisites and control measures for the Financial Institutions. This document implements the new regulatory frame referring to the suitability of the Members of the Board of Altura Markets, as well as of the Managing Direction

As of August, 2024, Altura's Direction is the responsibility of the Board of Directors and the Managing Director:

Members of the Board

Mr. José Antonio Ordás Porras (Chairman)
Mr. Franck Edmond Borgel
Mr. José Enrique Concejo Díez
Mr. Luis Elías Cremades
Mr. Alexis Francis Thompson
Mr. Amaury de Villemandy

Altura's Managing Director (M.D.)

Mr. Sverre Hasvold

According to the Regulation, this evaluation will focus on three different areas:

- Commercial and Professional Integrity
- Skills and Experience
- Absence of impediments for the proper performance of their duties as Members of the Board, especially conflicts of interest and overlapping activities and responsibilities

1.- EVALUATION OF COMMERCIAL AND PERSONAL INTEGRITY (Art. 14 bis)

Altura has collected available data in order to evaluate three different aspects contemplated by the current Regulation:

a) A negative background based on regulatory or disciplinary issues.-

Considering the analogy with the task to be fulfilled, Altura has made use of the same tools available for the correct knowledge of its client base, this time focused on obtaining any relevant information about the members of the Board, as well as information previously provided to CNMV. Details of the completed research are attached as Annex I, including:

- Result of enquiry performed with the "Screening" functionality of the Namebook application currently in use in Altura
- Review of the Curriculum Vitae of Board members and the Managing Director



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b) Having been found guilty of criminal offenses or having received administrative sanctions

No evidence has been found on this matter

c) Currently being investigated following rational indicia of any infringement described on b)

No evidence has been found on this matter

2.- EVALUATION OF SKILLS AND EXPERIENCE (Art. 14 ter)

The professional capacities and experience of all the members of Altura's Board of Directors were already submitted to CNMV for its consideration, and were found to be accurate.

Based on the past background, the proved experience, and the current fulfillment of the duties as members of Altura's Board of Directors and M.D, it is acknowledged that they satisfy the regulatory requirements.

As it refers to the current Managing Director of Altura, his CV is also attached in the Annexes. M. Sverre Hasvold has been in his current position since 2001, adding this experience to his background in the moment of his appointment.

3.- ABSENCE OF IMPEDIMENTS AND CAPACITY TO PERFORM A GOOD GOVERNANCE (Art. 14 quater)

According to the previous experience of the Members of the Board and M.D, no objections are to be made regarding their capacity and suitability to fulfill their duties properly.

As part of regulatory obligations, Members of the Board must declare on a yearly basis about any known conflicts of interest, including directorship and/or senior management positions held by them. Up to date, none of these have been found as an obstacle for a good governance.